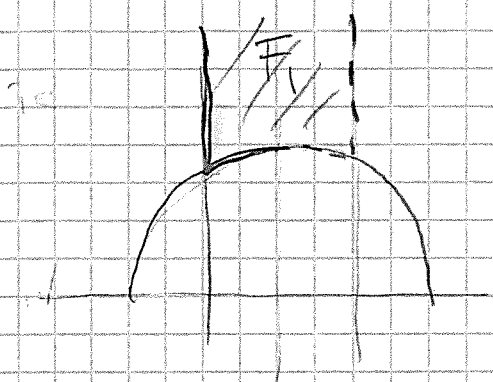


Remark ① Let $F_1 = \text{int } F \cup \left\{ \frac{-1}{2} + it \mid t \in \left[\frac{\sqrt{3}}{2}, \infty \right) \right\}$

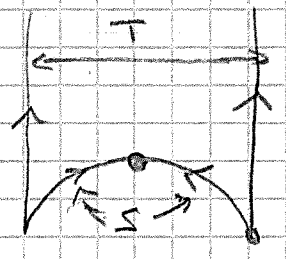
$$\cup \left\{ e^{i\theta} \mid \frac{\pi}{2} \leq \theta < \frac{2\pi}{3} \right\}$$



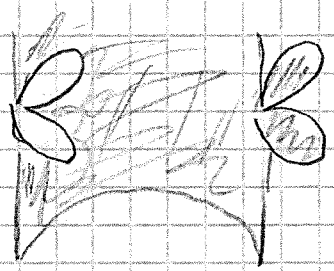
Then every point $z \in \mathbb{H}$ is π -equivalent to a single point in F_1

F_1 is called strict fundamental domain

② Note S and T identifies the 2 boundary lines and generate π .



③ Note that any image γF of F is also a fund. domain. Also you can take a piece A of F and translate it by an elt of γ then $(F \setminus A) \cup \gamma A$ is also a fund domain



Important s/gps of Γ

There are some important s/gps of Γ

In particular the so called congruence s/gps.

let $N \in \mathbb{N}$, $N > 0$

Defn. $\Gamma(N) = = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma \mid \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$

is called the principal congruence s/gp of level N .

$\Gamma(N)$ is the kernel of the homomorphism

$$\Gamma = \Gamma(1) \longrightarrow \mathrm{SL}_2(\mathbb{Z}/N\mathbb{Z})$$

Hence $\Gamma(N) \triangleleft \Gamma$.

Since $\mathrm{SL}_2(\mathbb{Z}/N\mathbb{Z})$ is a finite group

$$[\Gamma : \Gamma(N)] < \infty.$$

Defn A subgroup of Γ is called a congruence subgroup if it contains $\Gamma(N)$ for some N .

Rk A cong. s/gp of level N also has level M for any M which is a multiple of N since $\Gamma(M) \subset \Gamma(N)$ if $M = N \ell$

eg $\Gamma(4) \subset \Gamma(2) \subset \Gamma(1) = \Gamma$.

Other than the principal cong. s/g $\Gamma(N)$ we have the following important s/gps

$$\Gamma_0(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma \mid c \equiv 0 \pmod{N} \right\} \supset \Gamma(N)$$

$$\Gamma_1(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(N) \mid a \equiv 1 \pmod{N} \right\}$$

$$= \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma \mid \begin{matrix} a \equiv 1 \pmod{N} \\ c \equiv 0 \pmod{N} \end{matrix} \right\} \supset \Gamma(N)$$

Exercise: If $\Gamma' < \Gamma$ is a subgroup of finite index, i.e. $[\Gamma : \Gamma'] < \infty$ and


$\Gamma = \bigcup_{i=1}^n \Gamma'_i$ and F is a fund. domain of Γ then $F' = \bigcup_{i=1}^n \Gamma'_i F$ is a fund. domain for Γ' .

Rk. It is possible to define a topology on \mathbb{H}/Γ (See Diamond-Schurman Chapter 2) (esp. Fig. 2.7.)

① We start with a topology on $\overline{\mathbb{H}} = \mathbb{H} \cup \{\infty\} \cup \mathbb{R}$. Namely if $z_0 \in \mathbb{H}$, we take as fund. system of nbhds of z_0 , the usual open sets in \mathbb{H} containing z_0 .

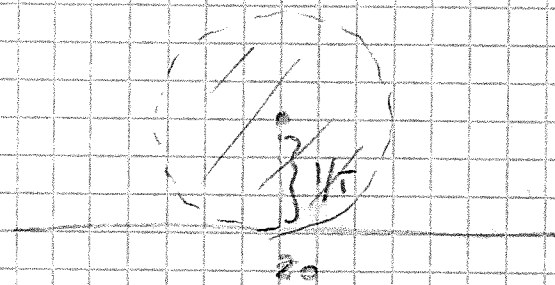
If $z_0 = i\infty$ we take the sets

$$\mathbb{H}_T^{i\infty} = \left\{ z \in \mathbb{H} \mid \text{Im } z > T \right\} \cup \{i\infty\}$$

 $\forall T$ as nbhds of $i\infty$

If $z_0 \in \mathbb{D}$ we take the sets 1. (28)

$$H_{\frac{z_0}{r}} := \left\{ z \in \mathbb{H} \mid \left| z - z_0 - \frac{1}{r} \right| < \frac{1}{r} \right\} \cup \{z_0\}$$



(2) We then endow $p\overline{\mathbb{H}}$ with the quotient topology, i.e. if $\pi: \overline{\mathbb{H}} \rightarrow p\overline{\mathbb{H}}$ is the canonical surjection, a subset U of $p\overline{\mathbb{H}}$ is open iff $\pi^{-1}(U)$ is an open set of $\overline{\mathbb{H}}$

(3) This gives the following topology on the complete system of representatives $= F$,

For $z_0 \in \text{int } \overline{\mathbb{H}}$, we take as a fund system of nbhds the usual open sets containing z_0



If $z_0 = i\infty$ we take the set

$$B_1 = \{z \in F, \text{Im } z > \tau\} \cup \{i\infty\}$$

If $\text{Re } z_0 = \frac{1}{2}$ we take the set

$$\{z \in F \mid |z - z_0| < \epsilon \text{ or } |z - z_0 - 1| < \epsilon\}$$

If $|z_0| = 1$ we take $\{z \in F \mid |z - z_0| < \epsilon \text{ or } |z + \frac{1}{z_0}| < \epsilon\}$

One can then show that $p\overline{\mathbb{H}}$ is a compact topological space

In fact \mathbb{P}^1/\mathbb{H} has a much richer structure

It is a compact analytic manifold.

The maps $z \mapsto \frac{z - z_0}{z - \bar{z}_0}$ for $z_0 \neq i, \rho, i\infty$

$$z \mapsto \left(\frac{z - i}{z + i} \right)^2 \quad \text{for } z = i$$

$$z \mapsto \left(\frac{z - \rho}{z - \bar{\rho}} \right)^3 \quad \text{for } z = \rho$$

$$z \mapsto e^{2\pi i z} \quad \text{for } z = i\infty$$

give \mathbb{P}^1/\mathbb{H} the structure of a complex

analytic manifold of dimension 1.

i.e. these maps are homeomorphisms from

fund. system of nbhds of z_0 onto a

fund. syst. of nbhds of 0 in \mathbb{C}

and the transition morphisms are holomorphic.

These functions from \mathbb{P}^1/\mathbb{H} to \mathbb{C} are called

local uniformizers at $z_0, i, \rho, i\infty$ resp)

We'll mainly use the uniformizer at $i\infty, e^{2\pi i z} = q$

Note the nbhds of i are only half "discs" whence

the square, the nbhds of ρ are only a third of

discs hence the cube, 2, 3 correspond to the

cardinality of the isotropy groups in $\text{PSL}_2(\mathbb{Z})$.

(2.1)

§2 Basic definitions and properties of Modular forms

We start with a definition

Defn Let $k \in \mathbb{Z}$, $g \in SL_2(\mathbb{R})$, $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$

$f: \mathbb{H} \rightarrow \mathbb{C}$. We define the weight k slash operator on functions by

$$(f|_k g)(z) = (cz + d)^{-k} f\left(\frac{az + b}{cz + d}\right)$$

The group $G = SL_2(\mathbb{R})$ acts on functions via the slash operator

$$f|_k g_1|_k g_2 = f|_k g_1 g_2$$

This is a consequence of the automorphy condition for $j(g, z) = cz + d$

Namely

$$j(g_1 g_2, z) = j(g_1, g_2 z) j(g_2, z)$$

For wt $k=0$, this action means

$$(f|_0 g)(z) = f\left(\frac{az + b}{cz + d}\right)$$

(If the wt is clear we will drop it and write $f|g$ instead of $f|_k g$)

For wt $k=2$ since $\frac{d(gz)}{dz} = \frac{1}{(cz + d)^2}$

$$(f|_2 g)(z) = f(gz) \frac{d(gz)}{dz}$$

Defn Let $k \in \mathbb{Z}$. A meromorphic function $f: \mathbb{H} \rightarrow \mathbb{C}$ is called weakly modular

of weight k for $\Gamma = SL_2(\mathbb{Z})$ if

$$(f|_k \gamma)(z) = f(z) \quad \forall \gamma \in \Gamma. \quad (*)$$

Rk ① Since $f|_k \gamma_2 = f|_k \gamma_1 |_k \gamma_3$

and $\Gamma = \langle S, T \rangle$ to verify $(*)$ it is enough to verify it for S and T

$$(*) \equiv \left\{ \begin{array}{l} (f|_k S)(z) = f(z) \\ (f|_k T)(z) = f(z) \end{array} \right\}$$

$$\text{i.e. } \left\{ \begin{array}{l} f(-\frac{1}{z}) = z^k f(z) \\ f(z+1) = f(z) \end{array} \right\}$$

② weakly modular of wt 0 means f is invariant under the action of Γ

$$\text{i.e. } f\left(\frac{az+b}{cz+d}\right) = f(z) \quad \forall \gamma \in \Gamma$$

③ weakly modular of wt 2 is also natural in terms of invariant differentials

$$(f|_2 \gamma)(z) = \frac{d(\gamma z)}{dz} f(\gamma z) \quad \text{since } \frac{d(\gamma z)}{dz} = \frac{1}{(cz+d)^2}$$

Hence if $(f|_2 \gamma)(z) = f(z)$ then $f(\gamma z) d(\gamma z) = f(z) dz$.

(2) If $\sigma = -I$ $(f|_{\sigma})(z) = f(z)$

$\Rightarrow f(z) = (-1)^k f(z)$

Hence if k is odd then $f \equiv 0$.

Non-zero odd weight modular functions exist for some subgroups $\Gamma' < \Gamma$ with $-I \notin \Gamma'$.

Defn A function $f: \mathbb{H} \rightarrow \mathbb{C}$ is called a modular form of wt k for Γ if

- (1) f is holomorphic on \mathbb{H}
- (2) f is weakly modular of wt k
 $f|_{\sigma} = f \quad \forall \sigma \in \Gamma$
- (3) f is "holomorphic at ∞ ".

To define holomorphic at ∞ let

$D' = \{q \in \mathbb{C} \mid 0 < |q| < 1\}$ be the punctured disc.

Consider the function

$q: \mathbb{H} \rightarrow D'$
 $z \mapsto e^{2\pi i z} = q$

the function $\tilde{f}: D' \rightarrow \mathbb{C}$
 $q \mapsto f\left(\frac{\log q}{2\pi i}\right)$

is well defined, i.e. does not depend on the choice of logarithm. This is because choosing a different branch of the logarithm

changes the value by a multiple of $2\pi i$
But since f is \mathbb{Z} -periodic

$\tilde{f}(q)$ does not depend on the choice of the logarithm, and $f(z) = \tilde{f}(e^{2\pi i z})$

If f is holom on \mathbb{H} then \tilde{f} is holom in D' and has a Laurent expansion

$$\tilde{f}(q) = \sum_{n \in \mathbb{Z}} a_n q^n \quad \text{for } q \in D'$$

$$a_n = \frac{1}{2\pi i} \int_{|q|=r} \frac{\tilde{f}(q)}{q^{n+1}} dq$$

and this means $f(z) = \sum_{n \in \mathbb{Z}} a_n e^{2\pi i n z}$

Defn we say

f is holomorphic at ∞ if \tilde{f} extends

holomorphically to the point $q=0$

i.e. The Laurent series for \tilde{f} does not have negative powers of q

(Similarly meromorphic at ∞ means the Laurent series for \tilde{f} has only finitely many negative powers of q).

The numbers a_n are called Fourier coeffs of f

Rk ① If we want to show that

$f: \mathbb{H} \rightarrow \mathbb{C}$ with $f(z+1) = f(z)$
is holom. at ∞ , we do not have to
compute its Fourier expansion
but just show that $\lim_{z \rightarrow i\infty} f(z)$ exists
or even just bounded as $\text{Im} z \rightarrow \infty$

$f(z+1) = f(z) \implies f(z) = \sum_{n \in \mathbb{Z}} a_n e^{2\pi i n z}$
+ f holom on \mathbb{H}

$|e^{2\pi i n(z)}| = |e^{2\pi i n x}| |e^{-2\pi n y}| = e^{-2\pi n y}$

as $y \rightarrow \infty$ $e^{-2\pi n y} \rightarrow 0$ if $n > 0$
 \rightarrow constant if $n = 0$
 $\rightarrow \infty$ if $n < 0$

f holom at $\infty \implies a_n = 0$ for $n < 0$

In this case f is bounded as $\text{Im} z \rightarrow \infty$
and $\lim_{z \rightarrow i\infty} f(z) = a_0$.

Defn $\mathcal{M}_k(\Gamma) = \{ f: \mathbb{H} \rightarrow \mathbb{C} \mid f \text{ is a mod. form of wt } k \text{ for } \Gamma \}$

The space of modular forms of wt k
 $\mathcal{M}_k(\Gamma)$ is a vector space and we'll see
soon that $\dim \mathcal{M}_k(\Gamma) < \infty$

$f \in \mathcal{M}_k(\Gamma)$ is called a cusp form if $a_0 = 0$
and we denote the space of cusp forms by $\mathcal{S}_k(\Gamma)$

2.6

Is there a function in $U_k(\Gamma)$? We saw $U_k(\Gamma) = \emptyset$

if $k = \text{odd}$

Defn Eisenstein series

For $k \geq 2$ even, $k \in \mathbb{N}$

$$\text{let } G_k(\tau) := \sum'_{\substack{m,n \in \mathbb{Z} \\ (m,n) \neq (0,0)}} \frac{1}{(m\tau + n)^k}$$

$$\sum'_{m,n} \text{ means } \sum_{\substack{m,n \in \mathbb{Z} \\ (m,n) \neq (0,0)}}$$

Prop 2.1 The sum $\sum'_{m,n} \frac{1}{(m\tau + n)^k}$ converges

absolutely and uniformly on compact subsets of \mathbb{H} , and hence defines a holomorphic function on \mathbb{H} .

Cor 2.2 $G_k(\tau)$ is a mod. form of wt. k .

Proof of Cor. Since the sum conv. abs. and uniformly on compacta its terms can be rearranged at will. To check modularity we need to check $G(\tau+1) = G(\tau)$ and

$$G\left(-\frac{1}{\tau}\right) = \tau^k G(\tau)$$

$$G_k(\tau+1) = \sum'_{m,n} \frac{1}{(m\tau + m + n)^k} = G_k(\tau)$$

since as $\{n, n\}$ runs over $\mathbb{Z}^2 \setminus \{0,0\}$ so does $\{(m, m+n)\}$.

2. (7)

Similarly
$$\zeta_k\left(-\frac{1}{z}\right) = \sum_{m \in \mathbb{N}} \frac{1}{\left(m\left(-\frac{1}{z}\right) + n\right)^k}$$

$$= z^k \sum_{m \in \mathbb{N}} \frac{1}{(nz - m)^k} = \zeta_k(z)$$

In fact one could even check directly that

$$\zeta_k\left(\frac{az+b}{cz+d}\right) = (cz+d)^k \zeta_k(z) \quad \text{since}$$

As $\{(m, n)\}$ runs over $\mathbb{Z}^2 \setminus \{(0, 0)\}$

so does $\{ma+nc, mb+nd\} = \{(m, n) \begin{pmatrix} a & b \\ c & d \end{pmatrix}\}$.

To see ζ is holom at ∞ we

look at
$$\lim_{z \rightarrow i\infty} \sum_{m \in \mathbb{N}} \frac{1}{(mz+n)^k} =$$

$$\lim_{z \rightarrow i\infty} \left(\sum_{\substack{n \in \mathbb{Z} \\ n \neq 0 \\ m=0}} \frac{1}{n^k} + 2 \sum_{m=1}^{\infty} \sum_{n \in \mathbb{Z}} \frac{1}{(mz+n)^k} \right)$$

$$= 2\zeta(k) + \lim_{\text{Im} z \rightarrow \infty} 2 \sum_{m=1}^{\infty} \left(\sum_{n \in \mathbb{Z}} \frac{1}{(mz+n)^k} \right)$$

Hence
$$\lim_{z \rightarrow i\infty} \zeta_k(z) = 2\zeta(k) < \infty. \quad \forall k > 2$$

2.8

Next we prove Prop 2.1

We first need a lemma.

Lemma 2.3 Let $L = \mathbb{Z}w_1 + \mathbb{Z}w_2$ be a lattice in \mathbb{C} . Then the series

$$\sum_{\substack{w \in L \\ w \neq 0}} |w|^{-s} \text{ converges for } \operatorname{Re} s > 2.$$

Proof of lemma

Since $||w|^{-s}| = |w|^{-\operatorname{Re} s}$

we may assume s is real.

Set $z = w_1/w_2 = x + iy$, $y \neq 0$.

$$|mw_1 + nw_2|^2 = |w_2|^2 |mz + n|^2$$

$$\geq A(m^2 + n^2)$$

with

$$A = \frac{|w_2|^2 y^2}{x^2 + y^2 + 1} > 0$$

Since for any integer m, n we have

$$\frac{y^2}{x^2 + y^2 + 1} \leq \frac{|mz + n|^2}{m^2 + n^2}$$

This inequality is equivalent to

$$\left((mx + n)^2 + m^2 y^2 \right) (x^2 + y^2 + 1) \leq (m^2 + n^2) y^2 \geq 0. \text{ But}$$

$$\text{LHS} = (mx + n)^2 + (m(x^2 + y^2) + nx)^2 \quad \text{check this!}$$

side remark

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We don't need this by similarity

one can show

$$\frac{|mz+n|^2}{m^2+n^2} \leq x^2+y^2+1$$

which is equivalent to

$$\underbrace{(x^2+y^2+1)(m^2+n^2) - (mx+n)^2 - m^2y^2}_{= (nx-m)^2 + n^2y^2} > 0$$

Thus we have $\sum'_{w \in L} |w|^{-s} \leq A^{-s/2} \sum'_{m, n} (m^2+n^2)^{-s/2}$

But now the sum $\sum'_{m, n} \frac{1}{(m^2+n^2)^\alpha}$ converges iff $\alpha > 1$

To see this

note $I = \int_{x^2+y^2 \geq 1} \frac{dx dy}{(x^2+y^2)^\alpha}$ converges $\Leftrightarrow \alpha > 1$

The convergence of the integral can be seen using polar coordinates

$$\int_0^{2\pi} \int_1^\infty \frac{r dr d\theta}{r^{2\alpha}} = 2\pi \int_1^\infty \frac{dr}{r^{2\alpha-1}}$$

$$I \text{ int conv} \Leftrightarrow 2\alpha - 1 > 1 \Leftrightarrow \alpha > 1$$

Thus $\sum'_{w \in L} |w|^{-s}$ converges if $s/2 > 1$
i.e. $s > 2$.